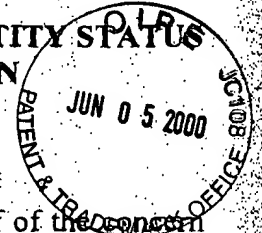


Applicant/Patentee : Peter J. Wilk, Timothy J. Nohara, and Peter T. Weber  
Serial/Patent No. : 09/514928  
Filed/Issued : February 28, 2000  
For : Ultrasonic Medical Device and Associated Method  
Attorney's Docket No. : W07-428

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS**  
**(37 CFR 1.9(f) and 1.27(c)) - SMALL BUSINESS CONCERN**



I hereby declare that I am

- ☐ the owner of the small business concern identified below  
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN : Wilk Ultrasound of Canada, Inc.  
ADDRESS OF CONCERN : Sullivan Mahoney, 40 Queen Street, P.O. Box 1360  
St. Catharines, Ontario L2R 6C2, Canada

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled:

ULTRASONIC MEDICAL DEVICE AND ASSOCIATED METHOD  
by inventor(s) Peter J. Wilk, Timothy J. Nohara, and Peter T. Weber  
described in

- ☐ the specification filed herewith.  
☒ application serial no. 09/514,928 filed February 28, 2000  
☐ patent no. \_\_\_\_\_, issued \_\_\_\_\_

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). \*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27).

Verified Statement (Declaration) Claiming Small Entity Status  
(37 CFR 1.9(f) and 1.27(c)) - Small Business Concern



Page 2

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Serial/Patent No. : 09/514,928  
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For : Ultrasonic Medical Device and Associated Method  
Attorney's Docket No. : W07-428

NAME OF CONCERN \_\_\_\_\_

ADDRESS OF CONCERN \_\_\_\_\_

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file in this application or patent notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patents issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Peter J. Wilk

TITLE OF PERSON OTHER THAN OWNER President

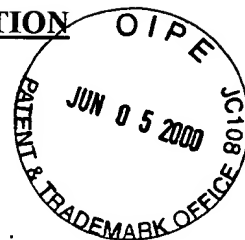
ADDRESS OF PERSON SIGNING 185 West End Avenue, New York, NY 10023

SIGNATURE Peter J. Wilk

DATE 3/21/00

COLEMAN SUDOL, LLP  
708 Third Avenue, 14th Floor  
New York, New York 10017  
(212) 679-0090

**DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION**



As a below named inventor, I hereby declare that:

My residence, post office and citizenship as stated below under my name.

I believe that I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled ULTRASONIC MEDICAL DEVICE AND ASSOCIATED METHOD, the specification of which is filed February 28, 2000 as application No. 09/514,928.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose all information known to me to be material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56. I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

**PRIOR FOREIGN APPLICATION(S)**

NUMBER	COUNTRY	(DAY/MONTH/YEAR FILED)	PRIORITY CLAIMED
<u>  NONE  </u>	<u>          </u>	<u>          </u>	<u>      </u> YES <u>      </u> NO

I hereby claim the benefit under Title 35, United States code, §120 of any United States application listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in any prior United States application in the manner provided by the first

09/514,928  
W07-428

paragraph of Title 35, United States Code, §112, I acknowledge that duty to disclose to Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal regulations, §1.56, which became available between the filing date of the prior application and the national of PCT international filing date of this application:

APPLICATION SERIAL NO.	FILING DATE (DAY/MONTH/YEAR)	STATUS Patented, Pending, Abandoned
____NONE____	_____	_____

I (we) hereby appoint the following attorneys and/or agents to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: R. Neil Sudol (Reg. No. 31,669) and Henry D. Coleman (Reg. No. 32,559), both of 708 Third Avenue, New York, New York 10017.

Please address all correspondence regarding this applicaiton to:

COLEMAN SUDOL, LLP  
708 Third Avenue, Fourteenth Floor  
New York, New York 10017-4101

Direct all telephone calls to R. Neil Sudol at (2121) 679-0090.

I hereby declare that all statemetns made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardise the validity of the application or any patent issued thereon.

INVENTOR: Peter J. Wilk

INVENTOR'S SIGNATURE: Peter J. Wilk

DATE: 3/21/00

RESIDENCE: 185 West End Avenue  
New York, NY 10023

COUNTRY OF CITIZENSHIP: United States of America

POST OFFICE ADDRESS: Same as above

INVENTOR: Timothy J. Nohara

INVENTOR'S SIGNATURE: \_\_\_\_\_

DATE: \_\_\_\_\_

RESIDENCE: 71 Millbridge Cr.  
Fonthill, Ontario L0S 1E1  
Canada

COUNTRY OF CITIZENSHIP: Canada

POST OFFICE ADDRESS: Same as above

INVENTOR: Peter T. Weber

INVENTOR'S SIGNATURE: \_\_\_\_\_

DATE: \_\_\_\_\_

RESIDENCE: 6 Briar Lane  
Dundas, Ontario L9H 6E8  
Canada

COUNTRY OF CITIZENSHIP: Canada

POST OFFICE ADDRESS: Same as above

INVENTOR: Peter J. Wilk

INVENTOR'S SIGNATURE: \_\_\_\_\_

DATE: \_\_\_\_\_

RESIDENCE: 185 West End Avenue  
New York, New York 10023

COUNTRY OF CITIZENSHIP: United States of america

POST OFFICE ADDRESS: Same as above

INVENTOR: Timothy J. Nohara

INVENTOR'S SIGNATURE: Timothy J. Nohara

DATE: 15 MAY 2000

RESIDENCE: 71 Millbridge Cr.  
Fonthill, Ontario L0S 1E1  
Canada

COUNTRY OF CITIZENSHIP: Canada

POST OFFICE ADDRESS: Same as above

INVENTOR: Peter T. Weber

INVENTOR'S SIGNATURE: Peter T. Weber

DATE: 18 May 2000

RESIDENCE: 6 Briar Lane  
Dundas, Ontario L9H 6E8  
Canada

COUNTRY OF CITIZENSHIP: Canada

POST OFFICE ADDRESS: Same as above